

 Federal Employees Retirement System		APPLICATION FOR REFUND OF RETIREMENT DEDUCTIONS FEDERAL EMPLOYEES RETIREMENT SYSTEM <i>To avoid delay in payment: (1) Complete application in full; (2) Typewrite or print in ink.</i>				Form Approved: OMB No. 3206-0170 <small>See the attached sheet for important information concerning your application for refund of retirement deductions and a Privacy Act Statement.</small>									
1. Name (Last, first, middle)					2. Date of birth (Month, day, year)			3. Social Security Number							
4. List all other names you have used (Including maiden name, if applicable)					5. Previous applications filed (Indicate by "X")			<input type="checkbox"/> Retirement Annuity		<input type="checkbox"/> Deposit					
								<input type="checkbox"/> Refund							
6. List below all of your civilian and military service for the United States Government. Attach a continuation sheet with your name and Social Security Number if necessary.										Indicate whether retirement deductions were withheld from your salary (Check One)		Have you paid deposit for any period including military service? (Check One)			
Department or Agency (Including bureau, branch, or division where employed)		Location of Employment (City, State, and ZIP Code)		Title of Position		Periods of Service		Withheld		Not Withheld		Fully or Partially Paid		Not Paid	
						Beginning Date	Ending Date								
7. Have you accepted any further employment with the Federal government or the Government of the District of Columbia (or arranged for such employment) to become effective within 31 days from the ending date of your last period of service?					<input type="checkbox"/> Yes <input type="checkbox"/> No		► Complete Items 13, 14, 15, and 16								
8. Indicate below whether you wish to have Federal income tax withheld from the interest portion of your refund. Please refer to "Federal Tax Information" attached before making your decision.															
<input type="checkbox"/> Withhold Federal income tax from the interest portion of my refund payment. If you elect withholding, the amount withheld will be 10% of the total interest payable.															
<input type="checkbox"/> Do not withhold Federal income tax from the interest portion of my refund payment.															
9. Are you now married? If "Yes," attach SF 3106A, <i>Current/Former Spouse's Notification of Application for Refund of Retirement Deductions</i> , or other required information described on the back of this form and in the attached SF 3106A.															
<input type="checkbox"/> Yes ► Give name of current spouse															
<input type="checkbox"/> No OPM CANNOT PAY YOUR REFUND WITHOUT THIS INFORMATION.															
10. If you have a total of 18 months of creditable civilian service, do you have a former spouse to whom you were married for at least 9 months? If "Yes," attach a SF 3106A or other required information for each former spouse. OPM CANNOT PAY YOUR REFUND WITHOUT THIS INFORMATION.					<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable		► In the space provided on the back of this form, list your former spouses.								
FOR AGENCY USE ONLY I certify that this agency received this Standard Form 3106 on the date shown below. Signature of Agency Official Title Date Received Agency Payroll Office Number					11. APPLICANT CERTIFICATION I understand that I am not legally entitled to receive a refund if I am reemployed or otherwise assigned to a position under the Federal Employees Retirement System or Civil Service Retirement System within 31 days of separating from my most recent position. I agree to notify OPM if I am employed again within this time period. I also agree to return or repay any refund paid to me if it is determined that I was not legally entitled to that refund. I understand that payment of a refund will result in <i>permanent</i> forfeiture of any retirement rights that are based on the period(s) of Federal Employees Retirement System service which the refund covers, as explained on the reverse side of this form. I hereby certify that all statements in this application, including any information I have given on the back, are true to the best of my belief and knowledge and that the tax withholding election made here reflects my wishes. Your signature (Do not print) Date										
					WARNING -- Any intentional false statement in this application or willful misrepresentation relative thereto is a violation of the law punishable by a fine of not more than \$10,000 or imprisonment of not more than 5 years, or both. (18 U.S.C. 1001)										
					12. ADDRESS FOR MAILING REFUND CHECK Number and Street City, State, and Zip Code Telephone Number (Including area code)										
					WE CANNOT AUTHORIZE PAYMENT IF THIS ADDRESS IS ERASED OR OTHERWISE CHANGED SEE ATTACHED FOR MORE INFORMATION										

13. If you answer "Yes" to Item 7, are Federal Employees Retirement System or Civil Service Retirement deductions being withheld from your salary during such employment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	14. Date of new appointment (<i>Expected date if not yet reemployed</i>)
15. Department or agency, including bureau, or division, in which you are (or will be) employed		16. Location of new employment (<i>City, State, and ZIP Code</i>)

If you answered "yes" in item 10, list all living former spouses to whom you married for at least 9 months.		
Name of Former Spouse	Date of Marriage (<i>Mo, day, yr</i>)	Date of Divorce (<i>Mo, day, yr</i>)

NOTIFICATION TO CURRENT AND FORMER SPOUSES OF YOUR REFUND APPLICATION

The Federal Employees Retirement law provides that your retirement contributions may be refunded to you ONLY if you notify the following persons that you are applying for the refund:

- any current spouse (including any person from whom you are legally separated) and
- any former spouse

You **are not required** to notify a former spouse if you were not married to that person for a total at least 9 months or you do not have a total of at least 18 months of creditable civilian service.

You should provide a copy of Standard Form 3106A, *Current/Former Spouse's Notification of Application for Refund of Retirement Deductions* (which is attached), to (1) your current spouse, if any, and (2) if you have at least 18 months of creditable civilian service, each former spouse you listed above. The current or former spouse must sign the form and have the signature witnessed by two persons. You cannot be one of the witnesses. Additional copies of the SF 3106A should be available from your employing office.

In addition, the law provides that payment of your refund is subject to the terms of any court order (related to a divorce or legal separation) that expressly relates to any portion of your refund, if the payment of the refund would end the entitlement of a spouse or former spouse to a survivor annuity or a portion of your annuity. A court order cannot bar payment of a refund if you do not have a future annuity entitlement under the Federal Employees Retirement System.

Attach all signed and witnessed notification forms to your refund application.

If your current or former spouse refuses to acknowledge the notification or you are otherwise unable to obtain the acknowledgment, you must submit one of the following:

- Affidavits signed by two individuals who witnessed your attempt to personally notify the current or former spouse. The witness must attest that they

saw you give or try to give (personally) the notification form to your current or former spouse to whom your purpose should have been clear.

OR 2. The current mailing address of the current or former spouse. (You may use the box at the end of this column to give the address.) OPM will attempt to notify (by certified mail return receipt requested) the current or former spouse at the address you give. OPM will not pay you the refund until we receive the signed return receipt. If the notice is undeliverable at the address you give, your refund may not be paid unless you subsequently show that the notification requirement should be waived as described below. If you decide you want OPM to make notification, it will cause a 6- to 8-week delay in the payment of your refund.

If you do not know the current whereabouts of a spouse or former spouse, the Office of Personnel Management may waive the requirement to notify that person. A waiver may be granted if you submit with your refund application:

- A determination by a court or administrative agency empowered to make such determinations that the person is missing; OR
- Notarized statements from yourself and two other persons (one of whom is unrelated to you) stating that the person's whereabouts are unknown and detailing efforts to locate the person.

I have been unable to notify the following current or former spouse. (Enter name and current mailing address, including ZIP Code, of the current or former spouse.)